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IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: Eugene FINK et al.

Application No.: 09/737,595

Filed: December 18, 2000

For: METHOD AND SYSTEM FOR  
MULTI-DIMENSIONAL TRADING

: Group Art Unit: 2161

: Attorney Docket No.: 051672-5001

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SUPPLEMENTAL INFORMATION DISCLOSURE  
STATEMENT PURSUANT TO 37 C.F.R. §§ 1.56 ET SEQ.

Commissioner for Patents  
Washington, D.C. 20231

Sir:

In accordance with 37 C.F.R. § 1.97(b), Applicants bring to the attention of the Examiner the references listed on the attached Form PTO-1449, which may be material to the examination of this patent application and in respect of which there may be a duty to disclose in accordance with 37 C.F.R. § 1.56.

In compliance with 37 C.F.R. 1.98(a), copies of the references listed on the attached Form PTO-1449 have been provided.

CERTIFICATE OF MAILING (37 C.F.R. § 1.8)

I hereby certify that this paper, and the papers and/or fees referred to herein as transmitted, submitted or enclosed, are being deposited on the date shown below with the U.S. Postal Service with sufficient postage as first-class mail in an envelope addressed to the Commissioner for Patents, Washington, D.C. 20231.

Name Abhijat Parikh

Signature Abhijat Parikh Date of Deposit March 17, 2003

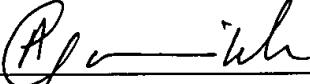
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Applicants respectfully request that these items be considered by the Examiner, and that the Examiner acknowledge consideration of these references by initialing and returning the copy of the attached Form PTO-1449 with the next official action.

While this Supplemental Information Disclosure Statement may be "material" pursuant to 37 C.F.R. § 1.56, it is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" for this invention or otherwise material to the patentability of this invention as defined in 37 C.F.R. § 1.56.

In accordance with 37 C.F.R. § 1.97(g), the filing of this Supplemental Information Disclosure Statement shall not be construed as a representation that a search has been made or that no other material information as defined in 37 C.F.R. § 1.56(b) exists.

Respectfully submitted,

  
Abhijat Parikh  
Registration No. 49,086  
Attorney for Applicants

MORGAN, LEWIS & BOCKIUS LLP  
1111 Pennsylvania Avenue, N.W.  
Washington, D.C. 20004  
Phone No.: 412.560.3360  
Facsimile No.: 412.560.3399

Dated: March 17, 2003

<p style="text-align: center;"><b>INFORMATION DISCLOSURE CITATION</b></p> <p><i>O 1 MAR 24 2003</i></p> <p>(Use several sheets if necessary)</p> <p>PTO Form 1449</p>				Attorney Docket No. 051672-5001			Application No. 09/737,595	
				Applicant: <b>Eugene FINK et al.</b>			Page 1 of 1	
				Filing Date: December 18, 2000			Group Art Unit: 2161	
<b>U.S. PATENT DOCUMENTS</b>								
*Examiner Initial	Document Number	Date	Name	Class	Sub Class	Filing Date		
	5,924,082	July 13, 1999	Silverman et al.	705	37	June 7, 1995		
	5,924,083	July 13, 1999	Silverman et al.	705	37	May 29, 1996		
	6,035,289	March 7, 2000	Chou et al.	705	37	July 14, 1998		
<b>FOREIGN PATENT DOCUMENTS</b>								
	Document Number	Date	Country	Class	Sub Class	<u>Translation</u>		
						YES	NO	
<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, Etc.)</b>								
Examiner				Date Considered				
Examiner:		Initial if reference considered, whether or not citation is in conformance with MPEP 609; draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.						